JPL C-16984 CIT 1917 Docket JPL87-008

## DECLARATION AND POWER OF ATTORNEY (Sole Inventor)

As the below named inventor, I hereby declare that:

I believe that I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled OPTICALLY INTRACONNECTED COMPUTER EMPLOYING DYNAMICALLY RECONFIGURABLE HOLOGRAPHIC OPTICAL ELEMENT described and claimed in the attached specification. I have reviewed and understand the contents of the specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Donald A. Streck, Registration No. 27,059, 2319 Alameda Ave., Swite 2F, Ventura, CA 93003, (805) 644-4035, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Wherefore, I hereby subscribe my name to the foregoing specification and claims, declaration and power of attorney.

rull name of inventor:	Larry A. Bergman
	$\Psi$ $\Lambda$ $\mathcal{K}_{\alpha}$
Inventor's signature:	Larry a. Beigman
Address: 6901 Chisholm	Ave., Van Nuys, CA 91406
Citizenship: United Sta	ates Date: <u>9/29</u> , 1987

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## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (Non-Profit Organization)

As assignee of the below identified invention, I hereby the California Institute of Technology ("CALTECH"), an institution of higher education, is tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) and is an educational organization pursuant to the California Corporations Code, Sections 5000, et seq., and, therefore, qualifies as a Non-Profit Organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled OPTICALLY INTRACONNECTED COMPUTER EMPLOYING DYNAMICALLY RECONFIGURABLE HOLOGRAPHIC OPTICAL ELEMENT described in the specification filed herewith.

CALTECH has not assigned, granted, conveyed or licensed and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CRF 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e) or a Federal Agency having or entitled to a license as defined in 35 USC 202(c)(4).

Each person, concern or organization to which CALTECH has assigned, granted, conveyed, or licensed or is under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed as follows:

United States of America as represented by the Administrator of the National Aeronautics and Space Administration, Washington, DC 20546

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

(37 CFR 1.28(b))

Verification of Small Entity Status Page 2

I hereby declare that I am empowered to act on behalf of CALTECH in this matter and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

CALIFOR	NET INSTITUTE OF TECHNOLOGY	00
Ву:	(Sevara . Un	sell
Title:	Director of Patents and Licensing	
Date:	September 29	, 1987

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\*INSTRUCTIONS: Rule 1.56 defines the duty of those who are implicated in the preparation and prosecution of a patent application to disclose to the U. S. Patent Office any prior art which is relevant to or reasonably close to the invention, and which is known or becomes known to them before the patent, if any, is issued. The inventor, attorney and others involved in the work of obtaining a U. S. Patent on an invention appear to be subject to the duty of disclosure. Breach of Rule 1.56 may result in denial of the application or invalidation of a patent which may later issue thereon. The rule does not impose a duty to search out or discover prior art. Therefore, "suspected" prior art which cannot be cited or is not readily at hand need not be entered on this form. Prior art, for purposes of completing this form is any printed publication or other document which became available or accessible to members of the public before the filing

be subject to the duty of disclosure. Breach of Rule 1.56 may result in denial of the application or invalidation of a patent which may later issue thereon. The rule does not impose a duty to search out or discover prior art. Therefore, "suspected" prior art which cannot be cited or is not readily at hand need not be entered on this form. Prior art, for purposes of completing this form is any printed publication or other document which became available or accessible to members of the public before the filing of the patent application.							
Case No. C-16984 - CIT 1917		Date Prepared:	September 29, 1987				
TITLE: OPTICALLY INTRACONNE	PITLE: OPTICALLY INTRACONNECTED COMPUTER EMPLOYING DYNAMICALLY RECONFIGURABLE						
HOLOGRAPHIC OPTICAL ELEMENT	1		<del></del>				
This is an [ ] initial [ ] upon of each of the documents identified NOVELTY, PATENTABILITY OF	tified below. NOTE	: PATENTS AND DO	CUMENTS RESULTING				
Citation			Name or Initial				
(by title, source, o			of Inventor or Staff Member				
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None	•						
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Continuation sheets None	(insert no	. or "none")					
Aside from the prior art result of record in this case, the it that I know about; and, if my of any relevant prior art.  Robert S. Jamieson Cog. Staff Mem. (type)  Larry A. Bergman Inventor (type)  Inventor (type)	em(s) of possible name or initial do same of initial do same of the same of th	prior art identifi	ed herein are all				
Inventor (type)	Sign	ature					

Signature

Signature

Date:

Inventor (type)

Inventor (type)